

8-28-01

PATENT  
Attorney Docket No.: UM-06609PATENT  
09/940243  
08/27/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of James R. Baker, Jr. for **Multifunctional Nanodevice Platform**.

## CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **August 27, 2001** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 790 816 709 US** addressed to: **Box Patent Application**, Assistant Commissioner For Patents, Washington, D.C. 20231.

Susan M. McClintock  
Susan M. McClintock

## 1. Type Of Application

This new application is for a(n)

- ☒ Original (nonprovisional)  
☒ Continuation.

## 2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.**

## 3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

- 72 Pages of Specification  
4 Pages of Claims  
1 Page of Abstract  
11 Sheets of Informal Drawings

## 4. Declaration

- ☒ Enclosed  
☒ Unexecuted.

## 5. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ the same

## 6. Language

- ☒ English

## 7. Certified Copy

Certified Copy(ies) of application(s) from which priority is claimed:

PCT/US01/15204

PCT/US01/15204

5/11/01

Country

Application No.

Filed

- ☒ will follow.

8. **Fee Calculation (37 C.F.R. § 1.16)**

☒ Regular application

**CLAIMS AS FILED**

Number Filed	Number Extra	Rate	Basic Fee - \$710.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	25 - 20 =	5 × \$18.00 =	\$90.00
Independent Claims (37 C.F.R. § 1.16(b))	1 - 3 =	0 × \$80.00 =	\$0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$270.00 =		\$0.00
<b>Filing Fee Calculation</b>			<b>\$800.00</b>

9. **Small Entity Statement(s)**

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

**Filing Fee Calculation (50% of above)** \$400.00

10. **Fee Payment Being Made At This Time**

☒ Enclosed

☒ basic filing fee \$400.00

**Total Fees Enclosed** \$400.00

11. **Method of Payment of Fees**

☒ Check in the amount of \$400.00

12. **Authorization To Charge Additional Fees and Credit Overpayment**

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

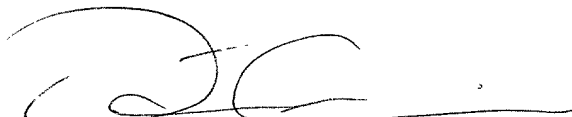
13. **Power of Attorney by Assignee**

☒ Enclosed unexecuted.

14. **Return Receipt Postcard**

☒ Enclosed

Dated: August 27, 2001

  
David A. Casimir  
Registration No.: 42,395

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☒ **Incorporation By Reference Of Added Pages**

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)  
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

## 15. Relate Back

- A. 35 U.S.C. § 119(e)**
- B. 35 U.S.C. §§ 120, 121 and 365(c)**

- ☒ Amend the Specification by inserting before the first line the sentence: "This is a Continuation of copending application(s) **PCT/US01/15204** filed on **5/11/01** and U.S. Serial No. **09/570,198** filed on **05/12/2000**."

**16. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed**

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are ☒ less than those named in the prior application. It is requested that the following inventor(s) identified for the prior application be deleted: **Donald A. Tomalia**